



AF  
3720

**AMENDMENT TRANSMITTAL**

**PATENT**

Application No.: 10/002,893  
Filing Date: November 14, 2001  
First Named Inventor: Song-Hua Shi  
Examiner's Name: Van, Quang T.  
Art Unit: 3742  
Attorney Docket No.: 42390.P13092

- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
- ☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
- ☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
- ☐ Applicant(s) claim small entity status (37 CFR 1.27).

**ATTACHMENTS**

- ☐ Preliminary Amendment
- ☐ Amendment/Response with respect to \_\_\_\_\_
- ☒ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
- ☐ Notice of Appeal
- ☐ RCE (Request for Continued Examination)
- ☐ Supplemental Declaration
- ☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
- ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS citations
- ☐ Petition for Extension of Time
- ☒ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims) No Fee
- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☐ Other: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_
- ☐ Check(s)
- ☒ Postcard (Return Receipt)

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MAY 07 2004

**SUBMITTED BY:**

TECHNOLOGY CENTER R3700

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TYPED OR PRINTED NAME: Mark A. Kupanoff

SIGNATURE: *Mark A. Kupanoff*

REG. NO.: 55,349

DATE: April 30, 2004

ADDRESS: 12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

TELEPHONE NO.: (408) 720-8300

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450

on April 30, 2004

Date of Deposit

Dianne Neathery

Name of Person Mailing Correspondence

*Dianne Neathery*  
Signature

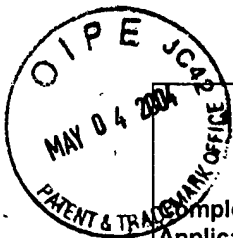
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(10/14/03)

**FEE TRANSMITTAL FOR FY 2004**

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) (No fee)

Complete if Known:

Application No. 10/002,893  
Filing Date November 14, 2001  
First Name and Inventor Song-Hua Shi  
Examiner Name Van, Quang T.  
Art Unit 3742  
Attorney Docket No. 42390.P13092

☐ Applicant claims small entity status. See 37 CFR 1.27.**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☒ **X** None☒ **X** Deposit AccountDeposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ **X** The Director is Authorized to do the following with respect to the above-identified Deposit Account:☐ Charge fee(s) indicated below.☒ **X** Credit any overpayments.☒ **X** Charge any additional fees during the pendency of this application.☒ **X** Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.☐ Charge fee(s) indicated below except for the filing fee.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80

**Fee Description**

Utility application filing fee

Design application filing fee

Plant filing fee

Reissue filing fee

Provisional application filing fee

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MAY 07 2004

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**Fee Paid**SUBTOTAL (1) \$ .00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		Extra Claims		Fee from below		Fee Paid	
Total Claims	<u>16</u>	- 25** =	<u>0</u>	<input checked="" type="checkbox"/> <b>X</b>	<u>18.00</u>	=	<u>.00</u>
Independent Claims	<u>3</u>	- 4** =	<u>0</u>	<input checked="" type="checkbox"/> <b>X</b>	<u>.00</u>	=	<u>.00</u>
Multiple Dependent						=	

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1202	18	2202	9
1201	86	2201	43
1203	290	2203	145
1204	86	2204	43
1205	18	2205	9

**Fee Description**

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

\*\*R issue independent claims over original patent

\*\*R issue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ .00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Larg Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ .00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Mark A. KupanoffSignature:  Date: April 30, 2004Reg. Number: 55,349 Telephone Number: (408) 720-8300

Send to : Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney's Docket No.: 42390.P13092  
Confirmation No.: 5703

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )

Song-Hua Shi et al. )

Application No: 10/002,893 )

Filed: November 14, 2001 )

For: GUIDED HEATING APPARATUS )  
AND METHOD FOR USING THE SAME )

Examiner: Van, Quang T.

Art Unit: 3742

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Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION

Dear Sir:

In response to the Final Office Action dated February 2, 2004, Applicant respectfully requests that the above-identified application be amended as follows and that the following remarks be considered:

FIRST-CLASS CERTIFICATE OF MAILING

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April 30, 2004

Date of Deposit

Dianne Neathery

Name of Person Mailing Correspondence

*Dianne Neathery*  
Signature

April 30, 2004

Date

Inventor(s): Song-Hua Shi, et al.  
Application No.: 10/002,893

- 1/8-

Examiner: Van, Quang T.  
Art Unit: 3742